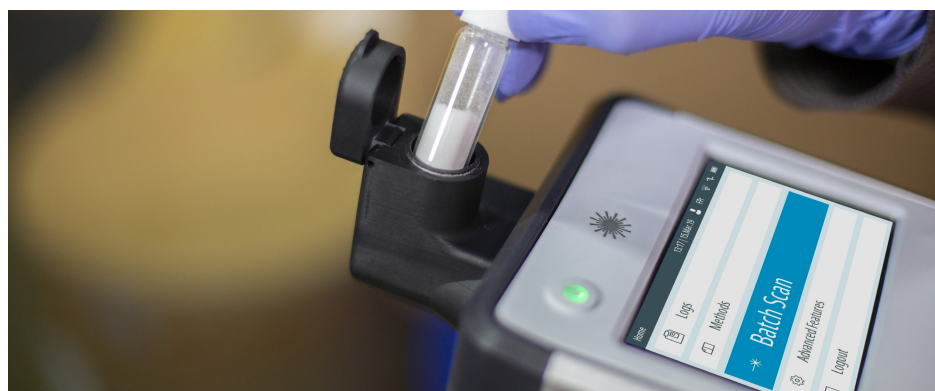


Raw Material Identification of mRNA Lipid Nanoparticle Components with the Agilent Vaya Raman Spectrometer



Author

Suresh Babu C.V.
Agilent Technologies, Inc.

Abstract

Handheld Raman spectrometers can distinguish raw materials through transparent and opaque packaging containers. This application note demonstrates the use of the handheld Agilent Vaya Raman raw material identity verification system based on spatially offset Raman spectroscopy (SORS) for chemical ID verification and testing of mRNA lipid nanoparticle (LNP) components through transparent glass and white opaque polyethylene containers.

Introduction

Lipid nanoparticles (LNPs) are essential for a wide variety of therapeutics.¹ They are used as drug delivery systems for effective on-target payload delivery. Most recently, they have been used as mRNA carrying vectors for COVID-19 vaccines. Nucleic acid LNP formulations are typically composed of lipid and nonlipid excipients. Both excipient types play a key role in the stability, transfection efficacy, and safety of a vaccine.² Assessing the chemical constitution and purity of raw materials used in LNP preparation is therefore critical, as it directly impacts the final product quality. In combination with reduced testing, the mandated current Good Manufacturing Practice raw material ID testing or verification can effectively address this quality control need.

Raman spectroscopy is now a standard technique for ID testing. It provides ID verification of raw materials at the point of need. SORS is a specialized technique that can accurately ID materials beneath obscuring or transparent surfaces, eliminating the need to open sample bottles or containers.³ In this application note, the Vaya Raman system—a handheld Raman spectrometer based on SORS—was used to analyze several commercially available lipid and nonlipid excipients used in the mRNA LNP preparation. The Vaya can identify raw materials through both transparent and opaque containers.

Experimental

Tris(hydroxymethyl)aminomethane (tris), 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES), citric acid, acetonitrile, methanol, ethanol, sucrose, maltose, trehalose, mannitol, and sorbitol were obtained from Sigma-Aldrich. 1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC), 1,2-dimyristoyl-rac-glycero-3-methoxypolyethylene glycol-2000 (DMG-PEG 2000), and cholesterol were supplied from MedChemExpress. All excipients were analyzed in their original container (see Figure 1 for the exact combination of nanoparticle components and associated containers). For every lipid, an ID verification method was created to demonstrate LNP component discrimination through a glass vial by the Vaya. Methods were developed using the built-in method development wizard. Apart from information on the container type, which is provided by the user, the Vaya automatically sets all the other acquisition parameters. A performance qualification test was performed before the acquisition of the SORS spectra. Each ID verification method was used to generate the spectral data presented in this application note. No additional data processing was performed beyond the automated baseline correction that is part of the normal analysis protocol for the Vaya.

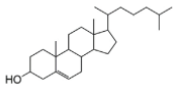
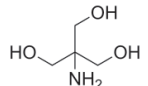
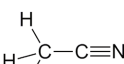
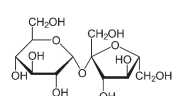
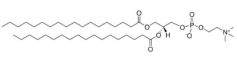
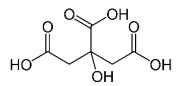
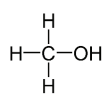
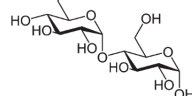
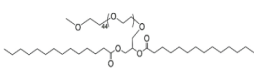
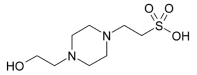
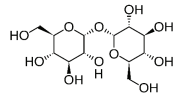
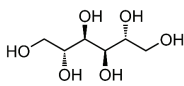
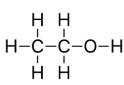
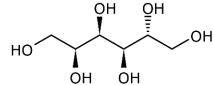
Lipids (clear glass and amber vials)	Buffer (white polyethylene HDPE)	Organic solvents (clear glass and amber bottles)	Cryoprotectants (white polyethylene HDPE)
 Cholesterol (clear glass)	 Tris(hydroxymethyl)aminomethane	 Acetonitrile (amber)	 Sucrose
 1,2-Distearoyl-sn-glycero-3-phosphocholine (DSPC) (clear glass)	 Citric acid	 Methanol (clear glass)	 Maltose
 [3-(2-Methoxyethoxy)-2-tetradecanoyloxypropyl] tetradecanoate (amber)	 4-(2-Hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES)	 Trehalose	 Mannitol
		 Ethanol (amber)	 Sorbitol

Figure 1. Lipid and nonlipid excipients used in this study with container details.

Results and discussion

Types of lipid and nonlipid excipients

Lipid excipients and nonlipid excipients like buffer components and cryoprotectants are used in nucleic-acid-based LNP products. Figure 1 shows the four categories of chemicals (lipids, buffer, solvent, and sugar-based cryoprotectants) with their respective container types employed in this study.

Lipids

Lipids are the building blocks of LNPs. Figure 2 shows the overlay of Raman spectra and how the Vaya can easily discriminate the PEGylated, ionic, and sterol lipids from each other. Raman band assignments confirm the presence of the long hydrocarbon chains present in lipids.⁴ The band at $1,440\text{ cm}^{-1}$ is attributed to the deformation vibrations of CH_2 and CH_3 , whereas the band at $1,673\text{ cm}^{-1}$ is a result of the stretching vibrations of $\text{C}=\text{C}$ present in the cholesterol. In DSPC, the band at 949 cm^{-1} corresponds to PO stretching. The band at $1,700\text{ cm}^{-1}$ is attributed to $\text{C}=\text{O}$ stretching in both DSPC and DMG-PEG 2000 lipids.

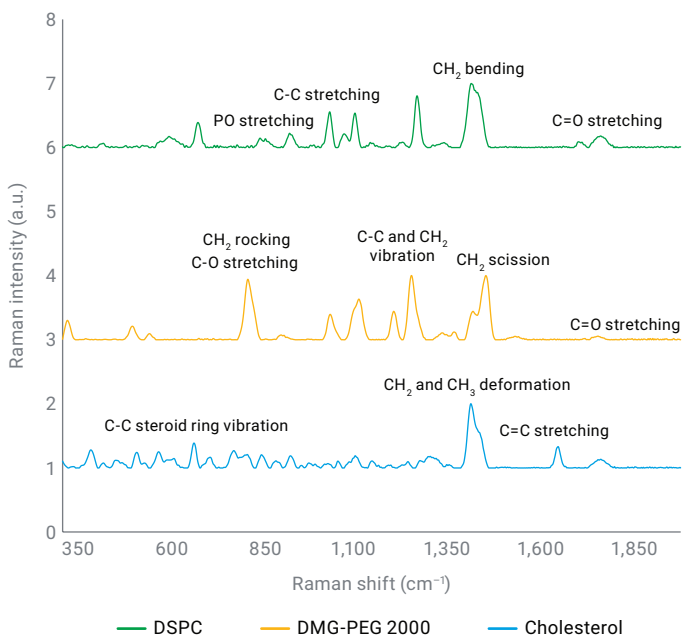


Figure 2. Agilent Vaya Raman spectra of lipids through clear glass (cholesterol, DSPC) and amber (DMG-PEG 2000) vials.

Buffers

Various buffer types are used during LNP preparation. Figure 3 shows the overlay of Raman spectra for three biological buffer types with respective assignments. Based on distinct Raman bands shown in all three spectra, these buffers can be identified before the LNP preparation. In the tris spectrum, the band in the region of $1,500$ to $1,700\text{ cm}^{-1}$ is associated with N-H bending. For HEPES, the band at $1,046\text{ cm}^{-1}$ is attributed to SO_3 stretching. The band at $1,700\text{ cm}^{-1}$ in the citric acid contributes to $\text{C}=\text{O}$ stretching.

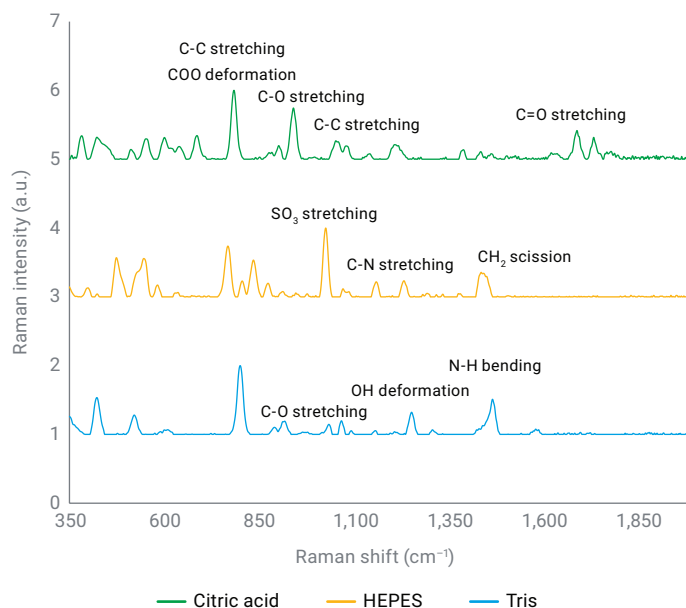


Figure 3. Agilent Vaya Raman spectra of biological buffers through in white polyethylene HDPE.

Organic solvents

Organic solvents play an important role in LNP preparation. Figure 4 shows the overlay of Raman spectra for three solvent types with respective assignments. The spectra of these solvents show clear differences in their bands. A strong band at $1,035\text{ cm}^{-1}$ for methanol corresponds to C-O stretching. The ethanol spectrum has one strong band at 882 cm^{-1} , which is assigned to C-C stretching, and two small bands at $1,050$ and $1,096\text{ cm}^{-1}$, which are assigned to C-O stretching and CH_3 rocking, respectively. Acetonitrile has a strong band at 921 cm^{-1} , which is indicative of the C-C skeletal vibration mode.

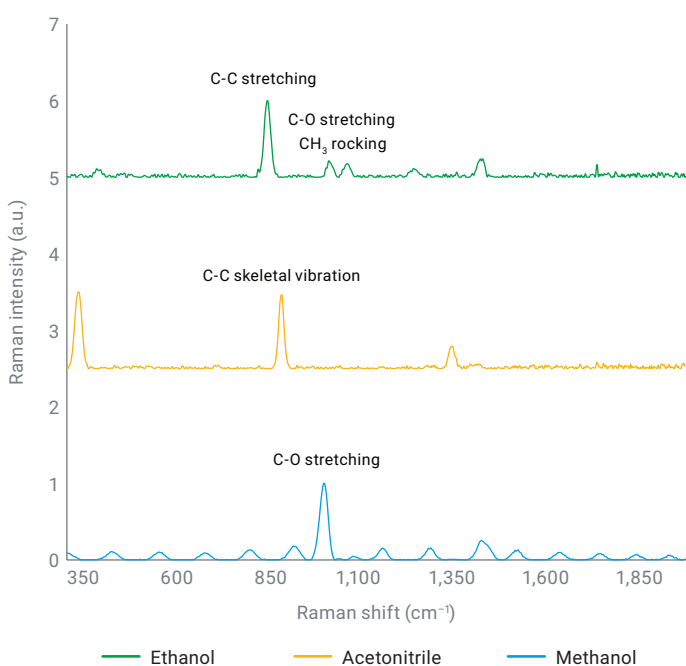


Figure 4. Agilent Vaya Raman spectra of organic solvents through clear glass (methanol) and amber bottles (acetonitrile, ethanol).

Cryoprotectants

Cryoprotectants are used during the formulation step to extend the mRNA LNP shelf life. Raman spectra of a range of sugars and their respective assignments are shown in Figure 5. The overlay graph demonstrates how every sugar has a distinct spectrum that sets it apart from the others. In the sucrose spectrum, the signals at 641.42 and 849.57 cm^{-1} are a result of torsion CH_2 and C-C stretching, respectively. The signal at 850.96 cm^{-1} for maltose is associated with the CH deformation vibration. Trehalose shows bands at 841.25 cm^{-1} (C-O-C deformation), 910.63 cm^{-1} (C-O stretching), $1,121.57\text{ cm}^{-1}$ (C-C stretching), and $1,454.82\text{ cm}^{-1}$ (CH_2 wagging and C-C stretching). The in-phase C-C-O stretching (878 cm^{-1}) and out-phase C-C-O stretching ($1,050\text{ cm}^{-1}$) were found at 878 and $1,050\text{ cm}^{-1}$ respectively for mannitol and sorbitol.

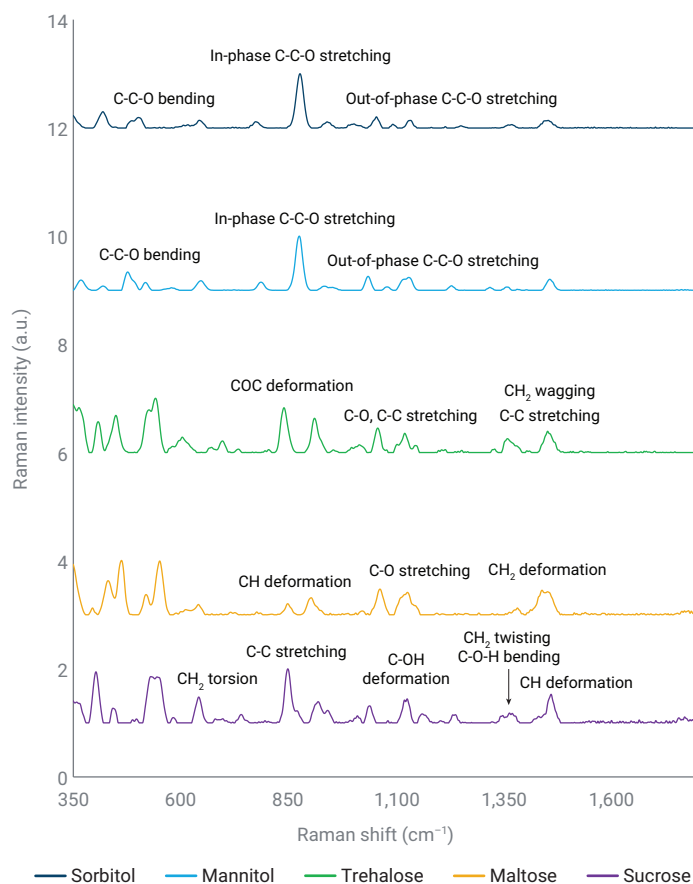


Figure 5. Agilent Vaya Raman spectra of cryoprotectants through white polyethylene HDPE.

Conclusion

This application note has demonstrated that the handheld Agilent Vaya Raman system can be used to analyze and identify lipid and nonlipid excipients employed in mRNA LNP formulation. The technique used here allowed the direct measurement of raw materials in different containers (clear and amber glass bottles, and polyethylene). With Vaya, raw material ID testing of biopharmaceutical products can be rationalized and performed directly in the quarantine area of the warehouse. No more sampling, sampling booth, or opening of primary or secondary containers is needed when using the Vaya.

References

1. Bulbake, U.; Doppalapudi, S.; Kommineni, N.; Khan, W. Liposomal Formulations in Clinical Use: An Updated Review. *Pharmaceutics* **2017**, *9*(2), 12. DOI: 10.3390/pharmaceutics9020012
2. Challener, C. Excipients Impact Stability in mRNA-LNP Formulations. *Pharmaceutical Technology* **2023**, *47*(3), 20–22, 32. Excipients Impact Stability in mRNA-LNP Formulations ([pharmtech.com](https://www.pharmtech.com))
3. Prullière, F.; Presly, O. Identifying Raw Materials Inside Containers Using a Handheld Raman Spectrometer, *Agilent Technologies white paper*, publication number 5994-2091EN, **2020**.
4. Czamara, K.; Majzner, M. Z; Pacia, K.; Kochan, A.; Baranska, M. Raman Spectroscopy of Lipids: A Review. *J. Raman Spectrosc.* **2015**, *46*(1), 4–20. DOI: 10.1002/jrs.4607

www.agilent.com

DE62815832

This information is subject to change without notice.

© Agilent Technologies, Inc. 2024
Printed in the USA, January 19, 2024
5994-7068EN